

# Properties of $(\sigma, \delta)$ -Rings

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**Abstract**— For a ring  $R$ , an automorphism  $\sigma$  of  $R$  and  $\delta$  a  $\sigma$ -derivation of  $R$ , we introduce  $(\sigma, \delta)$ -ring and  $(\sigma, \delta)$ -rigid ring which are the generalizations of  $\sigma(*)$ -rings and  $\delta$ -rings, and investigate their properties. Moreover, we prove that a  $(\sigma, \delta)$ -ring is 2-primal and for a  $(\sigma, \delta)$ -ring  $R$ , the prime radical is completely semi-prime.

**Keywords**— Noetherian ring, automorphisms,  $\sigma(*)$ -rings,  $\delta$ -rings,  $(\sigma, \delta)$ -rings,  $(\sigma, \delta)$ -rigid rings and 2-primal.

## I. INTRODUCTION AND PRELIMINARIES

A ring  $R$  always means an associative ring with identity  $1 \neq 0$ , unless otherwise stated. The prime radical and the set of nilpotent elements of  $R$  are denoted by  $P(R)$  and  $N(R)$  respectively. The field of real numbers is denoted by  $R$ , the field of integers by  $Z$ , the field of rational numbers by  $Q$  and the field of complex numbers by  $C$ , unless otherwise stated.

Let  $R$  be a ring. This article concerns endomorphisms and derivations of a ring and we also discuss certain types of rings involving endomorphisms and derivations. We begin with the following:

**Definition 1.1.** (Krempa [10]) An endomorphism  $\sigma$  of a ring  $R$  is said to be rigid if  $a\sigma(a) = 0$  implies that  $a = 0$ , for all  $a \in R$ . A ring  $R$  is said to be  $\sigma$ -rigid if there exists a rigid endomorphism  $\sigma$  of  $R$ .

**Example 1.2.** Let  $R = C$  and  $\sigma : C \rightarrow C$  be defined by  $\sigma(a + ib) = a - ib$ , for all  $a, b \in R$ . Then  $\sigma$  is a rigid endomorphism of  $R$ .

We recall a ring  $R$  is  $\sigma$ -rigid if there exists a rigid endomorphism  $\sigma$  of  $R$  and  $\sigma$ -rigid rings are reduced rings by Hong et. al. [6]. Properties of  $\sigma$ -rigid rings have been studied in Krempa [10], Hong [6] and Hirano [5].

**Definition 1.3.** (Kwak [12]) Let  $R$  be a ring and  $\sigma$  an endomorphism of  $R$ . Then  $R$  is said to be  $\sigma(*)$ -ring if  $a\sigma(a) \in P(R)$  implies that  $a \in P(R)$  for  $a \in R$ .

**Example 1.4.** (Example 1 of [12]) Let  $R = \begin{pmatrix} F & F \\ 0 & F \end{pmatrix}$ . Then  $P(R) = \begin{pmatrix} 0 & F \\ 0 & 0 \end{pmatrix}$ . Let  $\sigma : R \rightarrow R$  be defined by

$$\sigma \begin{pmatrix} a & b \\ 0 & c \end{pmatrix} = \begin{pmatrix} a & 0 \\ 0 & c \end{pmatrix}. \text{ Then it can be seen that } \sigma \text{ is an}$$

endomorphism of  $R$  and  $R$  is a  $\sigma(*)$ -ring. We note that the above ring is not  $\sigma$ -rigid. Let  $0 \neq a \in F$ . Then

$$\begin{pmatrix} 0 & a \\ 0 & 0 \end{pmatrix} \sigma \begin{pmatrix} 0 & a \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \text{ but } \begin{pmatrix} 0 & a \\ 0 & 0 \end{pmatrix} \neq \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}.$$

**Definition 1.5.** [13] An ideal  $I$  of a ring  $R$  is said to be completely semi-prime if  $a^2 \in I$  implies that  $a \in I$ , for  $a \in R$ .

**Definition 1.6.** A ring  $R$  is said to be 2-primal if and only if  $P(R) = N(R)$ .

**Example 1.7.** [4] Let  $R = F[x]$  be the polynomial ring over the field  $F$ . Then  $R$  is 2-primal with  $P(R) = \{0\}$ .

2-primal rings have been studied in recent years and are being treated by authors for different structures. We know that a ring  $R$  is 2-primal if the prime radical is completely semi-prime. Note that a reduced ring is 2-primal and a commutative ring is also 2-primal. For further detail on 2-primal rings refer to [2, 3, 7, 8, 9, 13, 15]. Furthermore, the concept of completely semi-prime ideals is also studied in this area. Kwak in [12] establishes a relation between a 2-primal ring and a  $\sigma(*)$ -ring.

**Definition 1.8.** [14] Let  $R$  be a ring,  $\sigma$  an endomorphism of  $R$  and  $\delta : R \rightarrow R$  an additive map such that

$$\delta(ab) = \delta(a)\sigma(b) + a\delta(b), \text{ for all } a, b \in R.$$

Then  $\delta$  is a  $\sigma$ -derivation of  $R$ .

**Example 1.9.** Let  $R = Z[\sqrt{2}]$ . Then  $\sigma : R \rightarrow R$  defined as  $\sigma(a + b\sqrt{2}) = a - b\sqrt{2}$  for  $a + b\sqrt{2} \in R$  is an endomorphism of  $R$ . For any  $s \in R$ .

Define  $\delta_s : R \rightarrow R$  by

$$\delta_s(a + b\sqrt{2}) = (a + b\sqrt{2})s - s\sigma(a + b\sqrt{2}) \text{ for } a + b\sqrt{2} \in R.$$

Then  $\delta_s$  is a  $\sigma$ -derivation of  $R$ .

**Definition 1.10.** (Bhat [1]) Let  $R$  be a ring. Let  $\sigma$  be an automorphism of  $R$  and  $\delta$  a  $\sigma$ -derivation of  $R$ . Then  $R$  is a  $\delta$ -ring if  $a\delta(a) \in P(R)$  implies that  $a \in P(R)$ .

Note that a  $\delta$ -ring is without identity,  $1 \neq 0$  as  $1 \cdot \delta(1) = 0$ , but  $1 \neq 0$ .

**Example 1.11.** Let  $S$  be a ring without identity and  $R = S \times S$  with  $P(R) = \{0\}$  (for example we take  $S = 2Z$ ). Then  $\sigma : R \rightarrow R$  is an endomorphism defined by

$$\sigma((a, b)) = (b, a).$$

For any  $s \in R$ . Define  $\delta_s : R \rightarrow R$  by

$$\delta_s((a, b)) = (a, b)s - s\sigma((a, b)) \text{ for } (a, b) \in R.$$

Let  $(a, b)\delta_s((a, b)) \in P(R)$ .

Then  $(a, b)\{(a, b)s - s\sigma((a, b))\} \in P(R)$

or  $(a, b)\{(a, b)s - s(b, a)\} \in P(R)$

i.e.  $(a, b)(as - bs, bs - sa) \in P(R)$ .

Therefore  $(a(as - bs), b(bs - sa)) \in P(R) = \{0\}$  which implies that  $a = 0, b = 0$  i.e.  $(a, b) = (0, 0) \in P(R)$ . Thus  $R$  is a  $\delta$ -ring.

In this note we generalize the  $\sigma(*)$ -rings and  $\delta$ -rings as follows:

**Definition 1.12.** Let  $R$  be a ring. Let  $\sigma$  be an endomorphism of  $R$  and  $\delta$  a  $\sigma$ -derivation of  $R$ . Then  $R$  is said to be a  $(\sigma, \delta)$ -ring if  $a(\sigma(a) + \delta(a)) \in P(R)$  implies that  $a \in P(R)$ , for  $a \in R$ .

**Example 1.13.** Let  $R = \begin{pmatrix} F & F \\ 0 & F \end{pmatrix}$ . Then  $P(R) = \begin{pmatrix} 0 & F \\ 0 & 0 \end{pmatrix}$ .

Let  $\sigma : R \rightarrow R$  be defined by

$$\sigma\left(\begin{pmatrix} a & b \\ 0 & c \end{pmatrix}\right) = \begin{pmatrix} a & 0 \\ 0 & c \end{pmatrix}.$$

Then it can be seen that  $\sigma$  is an endomorphism of  $R$ .

For any  $s \in R$ . Define  $\delta_s : R \rightarrow R$  by

$$\delta_s(A) = As - \sigma(A) \text{ for } A \in R.$$

Then  $\delta_s$  is a  $\sigma$ -derivation on  $R$ .

$$\text{Now let } A = \begin{pmatrix} a & b \\ 0 & c \end{pmatrix}, s = \begin{pmatrix} p & q \\ 0 & r \end{pmatrix}.$$

$A[\sigma(A) + \delta(A)] \in P(R)$  implies that

$$\begin{pmatrix} a & b \\ 0 & c \end{pmatrix} \left\{ \sigma\left(\begin{pmatrix} a & b \\ 0 & c \end{pmatrix}\right) + As - \sigma(A) \right\} \in P(R), \text{ which gives}$$

on simplifying  $a = 0, c = 0$ . Therefore  $A \in P(R)$ .

Hence  $P(R)$  is a  $(\sigma, \delta)$ -ring.

**Remark 1.14.**

- (1) If  $\delta(a) = 0$ , then  $(\sigma, \delta)$ -ring is a  $\sigma(*)$ -ring.
- (2) If  $\sigma(a) = 0$ , then  $(\sigma, \delta)$ -ring is a  $\delta$ -ring.
- (3) If  $\sigma(a) = a, \delta(a) = 0$ , then  $(\sigma, \delta)$ -ring is completely semi-prime.

**Definition 1.15.** Let  $R$  be a ring. Let  $\sigma$  be an endomorphism of  $R$  and  $\delta$  a  $\sigma$ -derivation of  $R$ . Then  $R$  is said to be a  $(\sigma, \delta)$ -rigid ring if  $a(\sigma(a) + \delta(a)) = 0$  implies that  $a = 0$ , for  $a \in R$ .

**Example 1.16.** Let  $R = C$  and  $\sigma : C \rightarrow C$  be defined by

$$\sigma(a + ib) = a - ib, \text{ for all } a, b \in R.$$

Then  $\sigma$  is an endomorphism on  $R$ . Define  $\delta$  a  $\sigma$ -derivation on  $R$  as  $\delta(A) = A - \sigma(A)$

$$\text{i.e., } \delta(a + ib) = a + ib - \sigma(a + ib) = a + ib - (a - ib) = 2ib$$

Now  $A[\sigma(A) + \delta(A)] = 0$  implies that

$$(a + ib)[\sigma(a + ib) + \delta(a + ib)] = 0$$

i.e.,  $(a + ib)[(a - ib) - 2ib] = 0$  or  $(a + ib)(a + ib) = 0$  which implies that  $a = 0, b = 0$ . Therefore  $A = a + ib = 0$ . Hence  $R$  is a  $(\sigma, \delta)$ -rigid ring.

With this we prove the following:

**Theorem A:** Let  $R$  be a Noetherian integral domain which is also an algebra over  $Q$ . Let  $\sigma$  be an automorphism on  $R$  and  $\delta$  a  $\sigma$ -derivation of  $R$ . If  $R$  is a  $(\sigma, \delta)$ -ring, then  $R$  is 2-primal. (This has been proved in Theorem (2.2))

**Theorem B:** Let  $R$  be a Noetherian integral domain which is also an algebra over  $Q$ . Let  $\sigma$  be an automorphism on  $R$  and  $\delta$  a  $\sigma$ -derivation of  $R$ . If  $R$  is a  $(\sigma, \delta)$ -ring, then  $P(R)$  is completely semi-prime. (This has been proved in Theorem (2.5))

Example of a ring satisfying the hypothesis of Theorem A and Theorem B is  $R = Z$ . It is a Noetherian integral domain which is also an algebra over  $Q$ . Let  $\sigma : R \rightarrow R$  be defined by  $\sigma(a) = 2a$ . Then it can be seen that  $\sigma$  is an endomorphism of  $R$ . For any  $s \in R$ . Define  $\delta_s : R \rightarrow R$  by  $\delta_s(a) = as - \sigma(a)$ , for  $a \in R$ . Then  $\delta_s$  is a  $\sigma$ -derivation on  $R$ . Also  $R$  is a  $(\sigma, \delta)$ -ring.

II. PROOF OF MAIN RESULTS

For the proof of the main results, we need the following:

**Proposition 2.1.** Let  $R$  be an integral domain. Let  $\sigma$  an automorphism of  $R$  and  $\delta$  a  $\sigma$ -derivation of  $R$ . Then for  $u \neq 0$ ,  $\sigma(u) + \delta(u) \neq 0$ .

Proof. Let  $0 \neq u \in R$ , we show that  $\sigma(u) + \delta(u) \neq 0$ . Let for  $0 \neq u, \sigma(u) + \delta(u) = 0$  which implies that

$$(2.1) \quad \delta(u) = -\sigma(u)$$

We know that for  $a, b \in R, \delta(ab) = \delta(a)\sigma(b) + a\delta(b)$

By using (2.1), this implies that

$$\delta(ab) = -\sigma(a)\sigma(b) + a(-\sigma(b)) \text{ or } -\sigma(ab) = -[a + \sigma(a)]\sigma(b).$$

Since  $\sigma$  is an endomorphism of  $R$ , this gives

$$-\sigma(a)\sigma(b) = -[a + \sigma(a)]\sigma(b) \text{ i.e. } \sigma(a) = a + \sigma(a).$$

Therefore  $a = 0$ , which is not possible. Hence the result.

The above Proposition does not hold if  $R$  is not an integral domain.

We now state and prove the main results of this paper in the form of the following Theorems:

**Theorem 2.3.** Let  $R$  be a Noetherian integral domain which is also an algebra over  $Q$ . Let  $\sigma$  be an automorphism of  $R$  and  $\delta$  a  $\sigma$ -derivation of  $R$ . If  $R$  is a  $(\sigma, \delta)$ -ring, then  $R$  is 2-primal.

Proof.  $R$  is a  $(\sigma, \delta)$ -ring. We know that a reduced ring is 2-primal. We use the principle of Mathematical Induction to prove that  $R$  is a reduced ring. Let for  $x \in R, x^n = 0$ . We use induction on  $n$  and show that  $x = 0$ . Result is trivially true for  $n = 1$ , as  $x^n = x^1 = a(\sigma(a) + \delta(a)) = 0$ . Now Proposition (2.1), implies that  $a = 0$ , Hence  $x = 0$ . Therefore the result is true for  $n = 1$ . Let us assume that the result is true for  $n = k$ , i.e.  $x^k = 0$  implies that  $x = 0$ . Let  $n = k + 1$ . Then  $x^{k+1} = 0$  which implies that

$$a^{k+1}(\sigma(a) + \delta(a))^{k+1} = 0.$$

Again by Proposition (2.1), we get  $a = 0$ . Hence  $x = 0$ . Therefore the result is true for  $n = k + 1$  also. Thus the result is true for all  $n$ , by the principle of Mathematical Induction. Hence the Theorem.

The converse of the above is not true.

**Example 2.4.** Let  $R = F(x)$ , the field of rational polynomials in one variable,  $x$ . Then  $R$  is 2-primal with  $P(R) = \{0\}$ . Let  $\sigma : R \rightarrow R$  be an endomorphism defined by

$$\sigma(f(x)) = f(0).$$

For  $r \in R, \delta_r : R \rightarrow R$  be a  $\sigma$ -derivation defined as

$$\delta_r(a) = ar - \sigma(a).$$

Then  $R$  is not a  $(\sigma, \delta)$ -ring. For take  $f(x) = xa + b, r = -b/xa$ .

Towards the proof of the next Theorem, we require the following:

J. Krempa [10] has investigated the relation between minimal prime ideals and completely prime ideals of a ring  $R$ . With this he proved the following:

**Theorem 2.5.** For a ring  $R$  the following conditions are equivalent:

- (1)  $R$  is reduced.
- (2)  $R$  is semiprime and all minimal prime ideals of  $R$  are completely prime.
- (3)  $R$  is a subdirect product of domains.

**Theorem 2.6.** Let  $R$  be a Noetherian integral domain which is also an algebra over  $Q$ . Let  $\sigma$  be an automorphism of  $R$  and  $\delta$  a  $\sigma$ -derivation of  $R$ . If  $R$  is a  $(\sigma, \delta)$ -ring, then  $P(R)$  is completely semi-prime.

Proof. As proved in Theorem (2.3),  $R$  is a reduced ring and by using Theorem (2.5), the result follows.

The converse of the above is not true.

**Example 2.7.** Let  $F$  be a field,  $R = F \times F$ . Let  $\sigma : R \rightarrow R$  be an automorphism defined as  $\sigma((a, b)) = (b, a)$ ,  $a, b \in F$ . Here  $P(R)$  is a completely semi-prime ring, as  $R$  is a reduced ring. For  $r \in F$ . Define  $\delta_r : R \rightarrow R$  by

$$\delta_r((a, b)) = (a, b)r - r\sigma((a, b)) \text{ for } a, b \in F.$$

Then  $\delta_r$  is a  $\sigma$ -derivation on  $R$ . Take  $A = (1, -1)$ ,  $r = 1/2$ .

$$\begin{aligned} & \text{Now } A[\sigma(A) + \delta_r(A)] \\ &= (1, -1) \{ \sigma((1, -1)) + (1, -1) 1/2 - 1/2 \sigma((1, -1)) \} \\ &= (0, 0) \in P(R) = \{0\}. \end{aligned}$$

But  $(1, -1) \neq 0$ .

Hence it is not a  $(\sigma, \delta)$ -ring.

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