

Zeros of a Polynomial with Restricted Coefficients

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Abstract— In this paper we consider a class of polynomials whose coefficients satisfy certain conditions and locate the regions containing all their zeros.

Mathematics Subject Classification: 30C10, 30C15.

Keywords— Coefficients, polynomial, zeros.

I. INTRODUCTION

The following theorem known as the Enestrom-Kakeya Theorem [8], [9] is of great importance in the theory of distribution of zeros of a polynomial:

Theorem A: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n

such that

$$a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0 > 0.$$

Then all the zeros of $P(z)$ lie in $|z| \leq 1$.

A lot of generalizations and extensions of this result are available in the literature [1-10]. Recently Gulzar et al [6] proved the following such result:

Theorem B: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n

with $\text{Re}(a_j) = \alpha_j, \text{Im}(a_j) = \beta_j, j = 0, 1, 2, \dots, n$ such that for some $\lambda, 0 \leq \lambda \leq n-1$ and for some $k \geq 1, 0 < \tau \leq 1$,

$$k\alpha_n \geq \alpha_{n-1} \geq \dots \geq \tau\alpha_\lambda$$

and

$$L = |\alpha_\lambda - \alpha_{\lambda-1}| + |\alpha_{\lambda-1} - \alpha_{\lambda-2}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0|.$$

Then all the zeros of $P(z)$ lie in

$$\left| z + \frac{(k-1)\alpha_n}{a_n} \right| \leq \frac{k\alpha_n - \tau\alpha_\lambda + (1-\tau)|\alpha_\lambda| + L + 2\sum_{j=0}^n |\beta_j|}{|a_n|}$$

II. MAIN RESULTS

In this paper we prove the following result:

Theorem 1: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree

n with $\text{Re}(a_j) = \alpha_j, \text{Im}(a_j) = \beta_j,$

$j = 0, 1, 2, \dots, n$ such that for some $\lambda, \mu, 0 \leq \lambda \leq n-1, 0 \leq \mu \leq n-1$ and for some

$$k_1, k_2 \leq 1; \tau_1, \tau_2 \geq 1,$$

$$k_1\alpha_n \leq \alpha_{n-1} \leq \dots \leq \tau_1\alpha_\lambda$$

$$k_2\beta_n \leq \beta_{n-1} \leq \dots \leq \tau_2\beta_\mu,$$

and

$$L = |\alpha_\lambda - \alpha_{\lambda-1}| + |\alpha_{\lambda-1} - \alpha_{\lambda-2}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0|,$$

$$M = |\beta_\mu - \beta_{\mu-1}| + |\beta_{\mu-1} - \beta_{\mu-2}| + \dots + |\beta_1 - \beta_0| + |\beta_0|,$$

Then all the zeros of $P(z)$ lie in

$$\left| z - \frac{(1-k_1)\alpha_n + i(1-k_2)\beta_n}{a_n} \right| \leq \frac{1}{|a_n|} [\tau_1(\alpha_\lambda + |\alpha_\lambda|)$$

$$+ \tau_2(\beta_\mu + |\beta_\mu|) - |\alpha_\lambda| - |\beta_\mu| - k_1\alpha_n - k_2\beta_n + L + M].$$

For different values of the parameters, we get many interesting results. For example, if we take a_j real i.e. $\beta_j = 0, \forall j = 0, 1, \dots, n; k_1 = k, \tau_1 = \tau$, then we get the following result from Theorem 1:

Corollary 1: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree

n such that for some $\lambda, 0 \leq \lambda \leq n-1$ and for some $k \leq 1; \tau \geq 1$,

$$ka_n \leq a_{n-1} \leq \dots \leq \tau a_\lambda$$

and

$$L = |\alpha_\lambda - \alpha_{\lambda-1}| + |\alpha_{\lambda-1} - \alpha_{\lambda-2}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0|,$$

Then all the zeros of $P(z)$ lie in

$$|z + k - 1| \leq \frac{\tau(|\alpha_\lambda| + a_\lambda) - ka_n - |\alpha_\lambda| + L}{|a_n|}.$$

If we take $\tau_1 = \tau_2 = 1$ in Theorem 1, we get the following:

Corollary 2: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n

with $\text{Re}(a_j) = \alpha_j, \text{Im}(a_j) = \beta_j,$

$j = 0, 1, 2, \dots, n$ such that for some

$\lambda, \mu, 0 \leq \lambda \leq n-1, 0 \leq \mu \leq n-1$ and for some $k_1, k_2 \leq 1,$

$$k_1\alpha_n \leq \alpha_{n-1} \leq \dots \leq \alpha_\lambda$$

$$k_2\beta_n \leq \beta_{n-1} \leq \dots \leq \beta_\mu,$$

and

$$L = |\alpha_\lambda - \alpha_{\lambda-1}| + |\alpha_{\lambda-1} - \alpha_{\lambda-2}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0|,$$

$$M = |\beta_\mu - \beta_{\mu-1}| + |\beta_{\mu-1} - \beta_{\mu-2}| + \dots + |\beta_1 - \beta_0| + |\beta_0|,$$

Then all the zeros of $P(z)$ lie in

$$\left| z - \frac{(1-k_1)\alpha_n + i(1-k_2)\beta_n}{a_n} \right| \leq \frac{\alpha_\lambda + \beta_\mu - k_1\alpha_n - k_2\beta_n + L + M}{|a_n|}.$$

If we take $k_2 = \tau_2 = 1$ in Theorem 1, we get the following:

Corollary 3: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n

with $\text{Re}(a_j) = \alpha_j, \text{Im}(a_j) = \beta_j,$

$j = 0, 1, 2, \dots, n$ such that for some $\lambda, \mu, 0 \leq \lambda \leq n-1, 0 \leq \mu \leq n-1$ and for some $k_1 \leq 1; \tau_1 \geq 1$,
 $k_1 \alpha_n \leq \alpha_{n-1} \leq \dots \leq \tau_1 \alpha_\lambda$
 $\beta_n \leq \beta_{n-1} \leq \dots \leq \beta_\mu$,

and
 $L = |\alpha_\lambda - \alpha_{\lambda-1}| + |\alpha_{\lambda-1} - \alpha_{\lambda-2}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0|$,
 $M = |\beta_\mu - \beta_{\mu-1}| + |\beta_{\mu-1} - \beta_{\mu-2}| + \dots + |\beta_1 - \beta_0| + |\beta_0|$,

Then all the zeros of $P(z)$ lie in
 $|z + \frac{(k_1 - 1)\alpha_n + i(k_2 - 1)\beta_n}{a_n}| \leq \frac{\tau_1(|\alpha_\lambda| + \alpha_\lambda) + \beta_\mu - k_1\alpha_n - \beta_n - |\alpha_\lambda| + L + M}{|a_n|}$.

If we take $k_1 = k_2 = \tau_1 = \tau_2 = 1$ in Theorem 1, we get the following:

Corollary 4: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n

with $\text{Re}(a_j) = \alpha_j, \text{Im}(a_j) = \beta_j$,

$j = 0, 1, 2, \dots, n$ such that for some $\lambda, \mu, 0 \leq \lambda \leq n-1, 0 \leq \mu \leq n-1$,
 $\alpha_n \leq \alpha_{n-1} \leq \dots \leq \alpha_\lambda$
 $\beta_n \leq \beta_{n-1} \leq \dots \leq \beta_\mu$,

and
 $L = |\alpha_\lambda - \alpha_{\lambda-1}| + |\alpha_{\lambda-1} - \alpha_{\lambda-2}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0|$,
 $M = |\beta_\mu - \beta_{\mu-1}| + |\beta_{\mu-1} - \beta_{\mu-2}| + \dots + |\beta_1 - \beta_0| + |\beta_0|$,

Then all the zeros of $P(z)$ lie in

$$|z| \leq \frac{\alpha_\lambda + \beta_\mu - \alpha_n - \beta_n + L + M}{|a_n|}.$$

III. PROOF OF THEOREM 1

Consider the polynomial

$$\begin{aligned} F(z) &= (1-z)P(z) \\ &= (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\ &= -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_{\lambda+1} - a_\lambda)z^{\lambda+1} + (a_\lambda - a_{\lambda-1})z^\lambda \\ &\quad + \dots + (a_1 - a_0)z + a_0 \\ &= -a_n z^{n+1} - (k_1 - 1)\alpha_n z^n + (k_1 \alpha_n - \alpha_{n-1})z^n \\ &\quad + (\alpha_{n-1} - \alpha_{n-2})z^{n-1} \dots + (\alpha_{\lambda+1} - \tau_1 \alpha_\lambda)z^{\lambda+1} \\ &\quad + (\tau_1 - 1)\alpha_\lambda z^{\lambda+1} + (\alpha_\lambda - \alpha_{\lambda-1})z^\lambda + \dots + (\alpha_1 - \alpha_0)z \\ &\quad + \alpha_0 + i\{(k_2 \beta_n - \beta_{n-1})z^n - (k_2 - 1)\beta_n z^n + \dots + (\beta_{\mu+1} - \tau_2 \beta_\mu)z^{\mu+1} \\ &\quad + (\tau_2 - 1)\beta_\mu z^{\mu+1} + (\beta_\mu - \beta_{\mu-1})z^\mu + \dots + (\beta_1 - \beta_0)z + \beta_0\} \end{aligned}$$

For $|z| > 1$ so that $\frac{1}{|z|^j} < 1, \forall j = 1, 2, \dots, n$, we have, by using the hypothesis

$$\begin{aligned} |F(z)| &\geq |a_n z + (k_1 - 1)\alpha_n + i(k_2 - 1)\beta_n| |z|^n \\ &\quad - [|k_1 \alpha_n - \alpha_{n-1}| |z|^n + |\alpha_{n-1} - \alpha_{n-2}| |z|^{n-1} \dots + |\alpha_{\lambda+1} - \tau_1 \alpha_\lambda| |z|^{\lambda+1} \\ &\quad + |\tau_1 - 1| |\alpha_\lambda| |z|^{\lambda+1} + |\alpha_\lambda - \alpha_{\lambda-1}| |z|^\lambda \\ &\quad + \dots + |\alpha_1 - \alpha_0| |z| + |\alpha_0| + \{ |k_2 \beta_n - \beta_{n-1}| |z|^n \\ &\quad + |\beta_{n-1} - \beta_{n-2}| |z|^{n-1} \dots + |\beta_{\mu+1} - \tau_2 \beta_\mu| |z|^{\mu+1} \\ &\quad + |\tau_2 - 1| |\beta_\mu| |z|^\mu + |\beta_\mu - \beta_{\mu-1}| |z|^{\mu-1} + \dots + |\beta_1 - \beta_0| |z| + |\beta_0| \}] \\ &= |z|^n [|a_n z + (k_1 - 1)\alpha_n + i(k_2 - 1)\beta_n| - \{ |k_1 \alpha_n - \alpha_{n-1}| \\ &\quad + \frac{|\alpha_{n-1} - \alpha_{n-2}|}{|z|} + \dots \\ &\quad + \frac{|\alpha_{\lambda+1} - \tau_1 \alpha_\lambda|}{|z|^{n-\lambda-1}} + \frac{(\tau_1 - 1)|\alpha_\lambda|}{|z|^{n-\lambda-1}} + \frac{|\alpha_\lambda - \alpha_{\lambda-1}|}{|z|^{n-\lambda}} \\ &\quad + \dots + \frac{|\alpha_1 - \alpha_0|}{|z|^{n-1}} + \frac{|\alpha_0|}{|z|^n} \\ &\quad + |k_2 \beta_n - \beta_{n-1}| + \frac{|\beta_{n-1} - \beta_{n-2}|}{|z|} + \dots + \frac{|\beta_{\mu+1} - \tau_2 \beta_\mu|}{|z|^{n-\mu-1}} \\ &\quad + \frac{(\tau_2 - 1)|\beta_\mu|}{|z|^{n-\mu}} + \dots + \frac{|\beta_1 - \beta_0|}{|z|^{n-1}} + \frac{|\beta_0|}{|z|^n} \}] \\ &= |z|^n [|a_n z + (k_1 - 1)\alpha_n + i(k_2 - 1)\beta_n| - \{ |k_1 \alpha_n - \alpha_{n-1}| \\ &\quad + |\alpha_{n-1} - \alpha_{n-2}| + \dots \\ &\quad + |\alpha_{\lambda+1} - \tau_1 \alpha_\lambda| + (\tau_1 - 1)|\alpha_\lambda| + |\alpha_\lambda - \alpha_{\lambda-1}| \\ &\quad + \dots + |\alpha_1 - \alpha_0| + |\alpha_0| \\ &\quad + |k_2 \beta_n - \beta_{n-1}| + |\beta_{n-1} - \beta_{n-2}| + \dots + |\beta_{\mu+1} - \tau_2 \beta_\mu| \\ &\quad + (\tau_2 - 1)|\beta_\mu| + |\beta_\mu - \beta_{\mu-1}| + \dots + |\beta_1 - \beta_0| + |\beta_0| \}] \\ &= |z|^n [|z|^n [|a_n z + (k_1 - 1)\alpha_n + i(k_2 - 1)\beta_n| \\ &\quad - \{ \alpha_{n-1} - k_1 \alpha_n + \alpha_{n-2} - \alpha_{n-1} \\ &\quad + \dots + \tau_1 \alpha_\lambda - \alpha_{\lambda+1} + (\tau_1 - 1)|\alpha_\lambda| + |\alpha_\lambda - \alpha_{\lambda-1}| \\ &\quad + \dots + |\alpha_1 - \alpha_0| + |\alpha_0| + \beta_{n-1} - k_2 \beta_n + \beta_{n-2} - \beta_{n-1} \\ &\quad + \dots + \tau_2 \beta_\mu - \beta_{\mu+1} + (\tau_2 - 1)|\beta_\mu| + |\beta_\mu - \beta_{\mu-1}| \\ &\quad + \dots + |\beta_1 - \beta_0| + |\beta_0| \}] \\ &= |z|^n [|z|^n [|a_n z + (k_1 - 1)\alpha_n + i(k_2 - 1)\beta_n| \\ &\quad - \{ \tau_1(\alpha_\lambda + |\alpha_\lambda|) + \tau_2(\beta_\mu + |\beta_\mu|) \\ &\quad - |\alpha_\lambda| - |\beta_\mu| - k_1 \alpha_n - k_2 \beta_n + L + M \}] \\ &\quad > 0 \end{aligned}$$

if $|a_n z + (k_1 - 1)\alpha_n + i(k_2 - 1)\beta_n| > \tau_1(\alpha_\lambda + |\alpha_\lambda|) + \tau_2(\beta_\mu + |\beta_\mu|) - |\alpha_\lambda| - |\beta_\mu| - k_1 \alpha_n - k_2 \beta_n + L + M$
 i.e. if

$$\left| z - \frac{(1-k_1)\alpha_n + i(1-k_2)\beta_n}{a_n} \right| \leq \frac{1}{|a_n|} [\tau_1(\alpha_\lambda + |\alpha_\lambda|) + \tau_2(\beta_\mu + |\beta_\mu|) - |\alpha_\lambda| - |\beta_\mu| - k_1\alpha_n - k_2\beta_n + L + M].$$

This shows that those zeros of F(z) whose modulus is greater than 1 lie in

$$\left| z - \frac{(1-k_1)\alpha_n + i(1-k_2)\beta_n}{a_n} \right| \leq \frac{1}{|a_n|} [\tau_1(\alpha_\lambda + |\alpha_\lambda|) + \tau_2(\beta_\mu + |\beta_\mu|) - |\alpha_\lambda| - |\beta_\mu| - k_1\alpha_n - k_2\beta_n + L + M].$$

Since the zeros of F(z) whose modulus is less than or equal to 1 already satisfy the above inequality and since the zeros of P(z) are also the zeros of F(z), it follows that all the zeros of P(z) lie in

$$\left| z - \frac{(1-k_1)\alpha_n + i(1-k_2)\beta_n}{a_n} \right| \leq \frac{1}{|a_n|} [\tau_1(\alpha_\lambda + |\alpha_\lambda|) + \tau_2(\beta_\mu + |\beta_\mu|) - |\alpha_\lambda| - |\beta_\mu| - k_1\alpha_n - k_2\beta_n + L + M].$$

That proves Theorem 1.

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